Sutter Health
Sutter Maternity & Surgery Center of Santa Cruz

2019 – 2021 Community Benefit Plan
Responding to the 2019 Community Health Needs Assessment
Submitted to the Department of Health Care Accountability and Information May 2022
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Note: This community benefit plan is based on the hospital’s implementation strategy, which is written in accordance with Internal Revenue Service regulations pursuant to the Patient Protection and Affordable Care Act of 2010. This document format has been approved by OSHPD to satisfy the community benefit plan requirements for not-for-profit hospitals under California SB 697.
Introduction
The Implementation Strategy Plan describes how Sutter Maternity & Surgery Center of Santa Cruz, a Sutter Health affiliate, plans to address significant health needs identified in the 2019 Community Health Needs Assessment (CHNA). The document describes how the hospital plans to address identified needs in calendar (tax) years 2019 through 2021.

The 2019 CHNA and the 2019 - 2021 Implementation Strategy Plan were undertaken by the hospital to understand and address community health needs, and in accordance with state law and the Internal Revenue Service (IRS) regulations pursuant to the Patient Protection and Affordable Care Act of 2010.

The Implementation Strategy Plan addresses the significant community health needs described in the CHNA that the hospital plans to address in whole or in part. The hospital reserves the right to amend this Implementation Strategy Plan as circumstances warrant. For example, certain needs may become more pronounced and merit enhancements to the described strategic initiatives. Alternately, other organizations in the community may decide to address certain community health needs, and the hospital may amend its strategies and refocus on other identified significant health needs. Beyond the initiatives and programs described herein, the hospital is addressing some of these needs simply by providing health care to the community, regardless of ability to pay.

Sutter Maternity & Surgery Center of Santa Cruz welcomes comments from the public on the 2019 Community Health Needs Assessment and 2019 - 2021 Implementation Strategy Plan. Written comments can be submitted:

- By emailing the Sutter Health System Office Community Benefit department at SHCB@sutterhealth.org;
- Through the mail using the hospital’s address at 2900 Chanticleer Avenue, Santa Cruz, CA 95065, Attention: Community Benefit Department; and
- In-person at the hospital’s Information Desk.

About Sutter Health
Sutter Health is the not-for-profit parent of not-for-profit and for-profit companies that together form an integrated healthcare system located in Northern California. The system is committed to health equity, community partnerships and innovative, high-quality patient care. Our over 65,000 employees and associated clinicians serve more than 3 million patients through our hospitals, clinics and home health services.

Learn more about how we’re transforming healthcare at sutterhealth.org and vitals.sutterhealth.org.

Sutter Health’s total investment in community benefit in 2021 was $872 million. This amount includes traditional charity care and unreimbursed costs of providing care to Medi-Cal patients. This amount also includes investments in community health programs to address prioritized health needs as identified by regional community health needs assessments.

As part of Sutter Health’s commitment to fulfill its not-for-profit mission and help serve some of the most vulnerable in its communities, the Sutter Health network has implemented charity care policies to help provide access to medically necessary care for all patients, regardless of their ability to pay. In 2021, Sutter Health invested $91 million in charity care. Sutter’s charity care policies for hospital services include, but are not limited to, the following:

1. Uninsured patients are eligible for full charity care for medically necessary hospital services if their family income is at or below 400% of the Federal Poverty Level (“FPL”).

2. Insured patients are eligible for High Medical Cost Charity Care for medically necessary hospital services if their family income is at or below 400% of the FPL and they incurred or paid medical expenses amounting to more than 10% of their family income over the last 12 months. (Sutter Health’s Financial Assistance Policy determines the calculation of a patient’s family income.)
Overall, since the implementation of the Affordable Care Act, greater numbers of previously uninsured people now have more access to healthcare coverage through the Medi-Cal and Medicare programs. The payments for patients who are covered by Medi-Cal and Medicare do not cover the full costs of providing care. In 2021, Sutter Health invested $557 million more than the state paid to care for Medi-Cal patients.

Through community benefit investments, Sutter helped local communities access primary, mental health and addiction care, and basic needs such as housing, jobs and food. See more about how Sutter Health reinvests into the community by visiting sutterpartners.org.

In addition, every three years, Sutter Health hospitals participate in a comprehensive and collaborative Community Health Needs Assessment, which identifies local healthcare priorities and guides our community benefit strategies. The assessments help ensure that we invest our community benefit dollars in a way that targets and addresses real community needs.

Through the 2019 Community Health Needs Assessment process the following significant community health needs were identified:

- Behavioral Health
- Housing and Homelessness
- Health Care Access and Delivery
- Economic Security
- Early Childhood Development
- Cancer
- Women’s Health
- Education & Literacy
- Maternal/Child Health
- Healthy Lifestyles
- Violence & Safety
- Oral/Dental Health
- Environment

The 2019 Community Health Needs Assessment conducted by Sutter Maternity & Surgery Center of Santa Cruz is publicly available at sutterhealth.org.

2019 Community Health Needs Assessment Summary
Sutter Maternity & Surgery Center of Santa Cruz (SMSC) worked together with its consultants to fulfill the primary and secondary data requirements of the CHNA. The CHNA process took place over six months. Sutter Maternity & Surgery Center of Santa Cruz contracted Actionable Insights (AI), LLC, an independent, local research firm, to complete the Community Health Needs Assessment (CHNA).

Sutter Maternity & Surgery Center of Santa Cruz completes a CHNA once every three years, which provides data enabling identification of priority issues affecting health. For the 2019 CHNA, AI analyzed close to 250 quantitative health indicators to assist SMSC with understanding the health needs in Santa Cruz County and assessing priorities in the community. AI collected data from existing sources using the CHNA.org, DataShare Santa Cruz, and KidsData.org platforms and other online sources, such as the California Department of Public Health and the U.S. Census Bureau.

Actionable Insights conducted primary research for this assessment. AI used two strategies for collecting community input: key informant interviews with health and community-service experts, and focus groups with professionals and community members.

The full 2019 Community Health Needs Assessment conducted by Sutter Maternity & Surgery Center of Santa Cruz is available at www.sutterhealth.org.

Definition of the Community Served by the Hospital
Sutter Maternity & Surgery Center of Santa Cruz is located in Santa Cruz County and serves the entire county. In 2019, an estimated 276,603 people resided in Santa Cruz County. The county occupies 445 square miles of land approximately 35 miles southwest of Silicon Valley, with the Pacific Ocean to the
west. This land includes 29 miles of coastline, forming the northern coast of Monterey Bay, and more than 44,000 acres of parks. More than one in five county residents lives in the city of Santa Cruz, making it the largest local municipality by population.

Nearly 14 percent of the population in Santa Cruz County is under the age of 18, and 14 percent is 65 years or older. The median age is 37.3 years old. Santa Cruz County is relatively diverse. Notably, residents of “some other race” are the third largest racial group, accounting for 12 percent of the population. More than three quarters of the population is White, and 5 percent is Asian. One third of residents have Latinx heritage. More than one sixth of Santa Cruz County residents are foreign-born.

Approximately 13 percent of the county’s population lives in a linguistically isolated household, marked by wide geographic differences. For example, less than 5 percent of the population in the Aptos area lives in a linguistically isolated household, compared with more than 30 percent in parts of Watsonville and other south county areas.

**Significant Health Needs Identified in the 2019 CHNA**

The following significant health needs were identified in the 2019 CHNA:

1. **Behavioral Health**: This health need, which comprises mental health and substance use, was prioritized by all focus groups and key informants. The rates of suicide, self-inflicted injuries, binge drinking, deaths from opioid overdose, marijuana use among high schoolers, and pediatric mental health hospitalizations and emergency department visits are all significantly worse in Santa Cruz County than state benchmarks. Suicides and drug overdoses are among the top 10 causes of death. Chronic liver disease and cirrhosis, which can be caused by chronic alcoholism, ranks 11th.

2. **Housing and Homelessness**: Housing was prioritized by all focus groups and key informants. The median rent in Santa Cruz County is significantly higher than the California average and has been increasing. The proportion of homeless students in public schools countywide is nearly double the state average, and more than one in four homeless youth is unsheltered.

3. **Health Care Access and Delivery**: Health care access and delivery was prioritized by almost all focus groups and key informants. Data show that the county fares worse than the state in the percentage of individuals who delayed or had difficulty obtaining care, the ratio of students to school nurses and psychologists, and household expenditures on medication, among other indicators related to this need.

4. **Economic Security**: The lack of economic security also concerns the community. Statistical indicators that are significantly worse in Santa Cruz County than the state include: the unemployment rate, the percentage of students eligible for free or reduced-price meals, the proportion of food-insecure children likely ineligible for government assistance, and the costs of infant, toddler, and preschool care.

5. **Early Childhood Development**: All of the statistical indicators gathered for the CHNA suggest that early childhood development is a need in Santa Cruz County. The county failed benchmarks for infants born at very low birth weight, elevated blood lead levels among children ages 0-5, and pediatric mental health issues that require ER visits or hospitalization.
6. **Cancer:** Cancer is the leading cause of death in Santa Cruz County. The incidence rates for breast, oral/pharynx, and prostate cancers significantly exceed state benchmarks. Cancer deaths in the county are highest among African Ancestry residents.

7. **Women's Health:** Numerous CHNA participants identified women, particularly those experiencing homelessness, as an especially vulnerable population. Several key informants mentioned a need for more affordable and accessible ob-gyn care. The breast cancer incidence and death rates in Santa Cruz County both surpass state benchmarks. The county rate of domestic violence hospitalizations among females age 10 and older is significantly higher than the state rate.

8. **Education & Literacy:** Statistical indicators that are significantly worse in Santa Cruz County compared to the state include: the preschool enrollment rate, the percentage of English-learners, and the proportion of 11th graders who meet or exceed the grade-level standard for mathematics.

9. **Maternal/Child Health:** CHNA participants indicated a need for more prenatal and post-partum care; statistically, a slightly smaller proportion of mothers in Santa Cruz County receive early prenatal care than the California benchmark. Very low birthweight and infant mortality rates are significantly higher than the state average. Infant mortality rates are worsening and disproportionately high among babies born to Latinx residents.

10. **Healthy Lifestyles:** This health need involves issues related to diabetes, obesity, access to food and recreation, and fitness, diet, and nutrition. Diabetes, heart disease, and stroke all rank among the top 10 causes of death in Santa Cruz County. Access to healthy food stores is poor; overweight and obesity are on the rise among adults in Santa Cruz County, and fitness levels among youth are often lower than state averages.

11. **Violence & Safety:** Community input focused on fear and stress. Crime, domestic violence hospitalizations among females, and child/youth traumatic injuries in Santa Cruz County surpass their respective state averages. Unintended injuries (accidents), already one of the top three causes of death in the county, are on the rise.

12. **Oral/Dental Health:** CHNA participants noted the expense of dental insurance and described community members letting dental issues go unresolved due to a lack of insurance. The ratio of dentists to patients in Santa Cruz County is lower than the state ratio, and the proportions of both adults and children who have never visited a dentist are significantly higher than their statewide comparisons.

13. **Environment:** Focus groups and key informants focused on the county’s varied terrain, which can create transportation issues and act as a barrier to health care access. Drinking water violations, child blood-lead levels, as well as statistics for flood vulnerability in Santa Cruz County are all significantly worse than state averages. Chronic lower respiratory diseases (including asthma, diagnoses of which are significantly higher among children in Santa Cruz County than state benchmarks) are among the top 10 causes of death in the county; these diseases can be aggravated by environmental conditions.

Members of Sutter Maternity & Surgery Center of Santa Cruz’s Community Advisory Board reviewed the list of identified community health needs and, based on their knowledge and experience working with the community, ranked each need in order of importance. The rankings from each board member were averaged together and approved to produce Sutter Maternity & Surgery Center of Santa Cruz’s final list of 2019 Prioritized Health Needs.
2019 – 2021 Implementation Strategy Plan
The implementation strategy plan describes how Sutter Maternity & Surgery Center of Santa Cruz plans to address significant health needs identified in the 2019 Community Health Needs Assessment and is aligned with the hospital’s charitable mission. The strategy describes:

- Actions the hospital intends to take, including programs and resources it plans to commit;
- Anticipated impacts of these actions and a plan to evaluate impact; and
- Any planned collaboration between the hospital and other organizations in the community to address the significant health needs identified in the 2019 CHNA.

Prioritized Significant Health Needs the Hospital will address: The Implementation Strategy Plan serves as a foundation for further alignment and connection of other Sutter Maternity & Surgery Center of Santa Cruz initiatives that may not be described herein, but which together advance the hospital’s commitment to improving the health of the communities it serves. Each year, programs are evaluated for effectiveness, the need for continuation, discontinuation, or the need for enhancement. Depending on these variables, programs may change to continue focus on the health needs listed below.

1. Health Care Access and Delivery
2. Behavioral Health
3. Housing and Homelessness
4. Maternal/Child Health
Health Care Access and Delivery

<table>
<thead>
<tr>
<th>Name of program/activity/initiative</th>
<th>Santa Cruz Community Health Center’s Health &amp; Home: Building Wellness in the Heart of Live Oak</th>
</tr>
</thead>
<tbody>
<tr>
<td>Description</td>
<td>The Santa Cruz Community Health Center’s Health &amp; Home: Building Wellness in the Heart of Live Oak capital campaign strives to raise the funds necessary to create a 3.7-acre campus at 1500 Capitola Road to expand the depth and breadth of services to patients in Live Oak. The project will promote a thriving community, providing access to quality health care (serving 10,000 people) and dental services (serving 7,000 people) regardless of income. It will include a new affordable housing complex, which will provide homes for 157.5 residents and a central plaza for events, socializing, and building community. Studies show a strong correlation between secure housing and long-term health and wellness. Sutter Maternity &amp; Surgery Center of Santa Cruz is supporting this program with a multi-year grant.</td>
</tr>
<tr>
<td>Goals</td>
<td>To improve the health of the community by:</td>
</tr>
<tr>
<td></td>
<td>• Reducing barriers and improving primary access to care</td>
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<tr>
<td></td>
<td>• Providing seamless integration of behavioral health screening and intervention</td>
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<tr>
<td></td>
<td>• Providing comprehensive medical, behavioral health and dental care for our homeless, low income and other high-risk patients</td>
</tr>
<tr>
<td>Anticipated Outcomes</td>
<td>Increased access to health care services for high risk populations</td>
</tr>
<tr>
<td>2021 Impact</td>
<td>This program did not start in 2021.</td>
</tr>
<tr>
<td>Metrics Used to Evaluate the program/activity/initiative</td>
<td>Number of persons served (including demographics if available)</td>
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<tr>
<td></td>
<td>Number of people connected to a PCP</td>
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<tr>
<td></td>
<td>Number of Health Screenings</td>
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<td></td>
<td>Number of people connected to social services</td>
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</tbody>
</table>

<table>
<thead>
<tr>
<th>Name of program/activity/initiative</th>
<th>Dientes’ Health &amp; Home: Building Wellness in the Heart of Live Oak</th>
</tr>
</thead>
<tbody>
<tr>
<td>Description</td>
<td>Dientes’ Health &amp; Home: Building Wellness in the Heart of Live Oak capital campaign is focused on raising funds to purchase land and construct the new clinic and administrative office space as part of a 3.7-acre integrated health and housing campus at 1500 Capitola Road. The project will promote a thriving community, providing access to vital, quality medical care and dental care regardless of income. The campus will also include a housing complex that will provide homes to 157 residents. Dientes’ clinic will consist of 10 chairs and will ultimately serve up to an additional 6,000 patients a year. Sutter Maternity &amp; Surgery Center of Santa Cruz is supporting this program with a multi-year grant.</td>
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<tr>
<td>Goals</td>
<td>Improve the health of the community by increasing access to comprehensive dental care for low-income patients.</td>
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<tr>
<td>Anticipated Outcomes</td>
<td>Increased access to comprehensive dental services for high risk populations.</td>
</tr>
<tr>
<td>2021 Impact</td>
<td>This program did not start in 2021.</td>
</tr>
</tbody>
</table>
| Metrics Used to Evaluate the program/activity/initiative | Number of persons served (including demographics if available)  
Number of classes/workshops offered  
Number of screenings provided  
Number of people connected to social services |

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<thead>
<tr>
<th>Name of program/activity/initiative</th>
<th>Grants and Sponsorships Addressing Health Care Access and Delivery</th>
</tr>
</thead>
</table>
| Description | Grants and sponsorships are decided annually based on community need. Selected executed grants and sponsorships will be reported at year end.  
An example of an organization that may receive a grant or sponsorship to address Health Care Access and Delivery is Salud Para La Gente. |
| Goals | Expand the county’s safety net by making health care services more readily available to publicly insured and uninsured populations. |
| Anticipated Outcomes | Increase affordable, accessible health care services for uninsured and underinsured patients by supporting community-based organizations that develop/expand clinical services, outreach programs, and health education workshops to ensure that the needs of the underserved populations are met. |
| 2021 Impact | SMSC provides grants/sponsorships to several organizations to address health care access and delivery. These organizations include Salud Para La Gente. By supporting these organizations over 27,000 individuals were served for a total of 150,912 encounters. 68,509 vaccinations were provided. |
| Metrics Used to Evaluate the program/activity/initiative | SMSC will evaluate the impact of grants by annually tracking metrics via reporting from grantee organizations. Possible metrics include: Number of persons served (including demographics if available), Number of classes/workshops offered, Number of screenings provided. |
## Behavioral Health

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<tr>
<th>Name of program/activity/initiative</th>
<th>Grants and Sponsorships Addressing Behavioral Health.</th>
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</table>
| **Description**                    | Grants and sponsorships are decided annually based on community need. Selected executed grants and sponsorships will be reported at year end.  
An example of an organization that may receive a grant or sponsorship to address Behavioral Health is Encompass Community Services. |
| **Goals**                          | Promote behavioral health in the broader community and at-risk communities |
| **Anticipated Outcomes**            | Examples:  
- Increase substance use disorder treatment services  
- Increase age appropriate art therapy services  
- Increase integrated treatment services for clients with co-occurring substance use disorder and mental health problems |
| **2021 Impact**                    | Encompass Community Services served 16 individuals. The Diversity Center focuses on serving the most underserved: youth ages 12-18, the transgender community, the Latinx community, and seniors. Here community members are able to walk into the center or call with a crisis and receive support for their mental health, housing, physical health or any other concerns. In 2021, 1500 individuals were served and a total of 387 classes/workshops were provided and 7 community outreach events took place. |
| **Metrics Used to Evaluate the program/activity/initiative** | SMSC will evaluate the impact of grants by annually tracking metrics via reporting from grantee organizations. Possible metrics include: Number of persons served (including demographics if available), Number of classes/workshops offered, Number of screenings provided. |
# Housing and Homelessness

<table>
<thead>
<tr>
<th>Name of program/activity/initiative</th>
<th>Grants and Sponsorships Addressing Housing and Homelessness.</th>
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</thead>
</table>
| **Description**                   | Grants and sponsorships are decided annually based on community need. Selected executed grants and sponsorships will be reported at year end.  
An example of an organization that may receive a grant or sponsorship to address Housing and Homelessness is Housing Matters. |
| **Goals**                         | Prevent homelessness and counter displacement by increasing the county’s access to healthy, stable and affordable housing. |
| **Anticipated Outcomes**          | Increase affordable housing opportunities for unhoused and under housed individuals by supporting community-based organizations that develop/expand housing services to ensure that the needs of the populations are met. |
| **2021 Impact**                  | Housing Matters operates the Recuperative Care Center (RCC) which provides a safe home-like setting, support for recovery, and onsite primary care. In 2021 52 individuals were served for a total of 500 encounters. 48 individuals saw a primary care provider. Lastly, more than 4,000 meals were provided. |
| **Metrics Used to Evaluate the program/activity/initiative** | SMSC will evaluate the impact of grants by annually tracking metrics via reporting from grantee organizations. Possible metrics include: Number of persons served (including demographics if available), Number of individuals/families sheltered, Number of individuals transitioned into permanent housing, Number of individuals receiving housing assistance services. |
### Maternal/Child Health

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<thead>
<tr>
<th>Name of program/activity/initiative</th>
<th>Description</th>
<th>Goals</th>
<th>Anticipated Outcomes</th>
<th>2021 Impact</th>
<th>Metrics Used to Evaluate the program/activity/initiative</th>
</tr>
</thead>
<tbody>
<tr>
<td>Lactation Center</td>
<td>The Lactation Center at SMSC supports new mothers with a variety of programming. Workshops provided include Post-Partum Wellness classes, New Mother’s Support Groups, and Second Baby and Mom Classes. The Center also offers free breastfeeding phone consultations for low-income mothers.</td>
<td>New mothers have the information and support they need to successfully breastfeed.</td>
<td>Increased knowledge of strategies related to breastfeeding. Increased sense of social support. Increased belief in ability to address challenges experienced in parenting.</td>
<td>In 2021, 1,162 individuals were served.</td>
<td>Number of persons served (including demographics if available/applicable), Number of encounters, Number of classes/workshops provided.</td>
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<tr>
<td>Health Education for Expectant Parents</td>
<td>SMSC hosts a variety of health education classes for expectant parents in the community. These classes include topics such as breastfeeding, childbirth, parenting, infant care and infant CPR.</td>
<td>New and/or expecting parents have the information and support they need to partner in their health and that of their babies.</td>
<td>Increased knowledge of strategies related to parenting. Increased sense of social support. Increased belief in ability to address challenges experienced in parenting.</td>
<td>In 2021, no classes were provided due to the pandemic.</td>
<td>Number of persons served (including demographics if available/applicable), Number of encounters, Number of classes/workshops provided.</td>
</tr>
<tr>
<td>Grants and Sponsorships Addressing Maternal/Child Health</td>
<td>Grants and sponsorships are decided annually based on community need. Selected executed grants and sponsorships will be reported at year end. An example of an organization that may receive a grant or sponsorship to address Maternal/Child Health is First5 Santa Cruz County.</td>
<td>Promote maternal and child Health in the broader community and at-risk communities</td>
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<tr>
<td><strong>Anticipated Outcomes</strong></td>
<td>Increase programming and resources that promote maternal and child health for underserved populations.</td>
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<td><strong>2021 Impact</strong></td>
<td>SMSC provided a grant to First5 of Santa Cruz County. 2267 individuals were served and 1245 were connected to a primary care provider.</td>
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<tr>
<td><strong>Metrics Used to Evaluate the program/activity/initiative</strong></td>
<td>SMSC will evaluate the impact of grants by annually tracking metrics via reporting from grantee organizations. Possible metrics include: Number of persons served (including demographics if available), Number of classes/workshops offered, Number of screenings provided.</td>
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Needs Sutter Maternity & Surgery Center of Santa Cruz Plans Not to Address
No hospital can address all of the health needs present in its community. Sutter Maternity & Surgery Center of Santa Cruz is committed to serving the community by adhering to its mission, using its skills and capabilities, and remaining a strong organization so that it can continue to provide a wide range of community benefits. The implementation strategy plan does not include specific plans to address the following significant health needs that were identified in the 2019 Community Health Needs Assessment for the following reasons:

1. Economic Security – Other organizations are better equipped to address this need
2. Early Childhood Development – Indirectly through other organizations
3. Cancer – Indirectly through other organizations
4. Women’s Health – Indirectly through other organizations
5. Education & Literacy – Other organizations are better equipped to address this need
6. Healthy Lifestyles – Indirectly through other organizations
7. Violence & Safety – Other organizations are better equipped to address this need
8. Oral/Dental Health – Indirectly through other organizations
9. Environment – Other organizations are better equipped to address this need

Approval by Governing Board
The Community Health Needs Assessment and Implementation Strategy Plan was approved by the Sutter Health Bay Hospitals Board on November 20, 2019.
Appendix: 2021f Community Benefit Financials

Sutter Health hospitals and many other healthcare systems around the country voluntarily subscribe to a common definition of community benefit developed by the Catholic Health Association. Community benefits are programs or activities that provide treatment and/or promote health and healing as a response to community needs.

Community benefit programs include traditional charity care which covers healthcare services provided to persons who meet certain criteria and cannot afford to pay, as well as the unpaid costs of public programs treating Medi-Cal and indigent beneficiaries. Costs are computed based on a relationship of costs to charges. Additional community benefit programs include the cost of other services provided to persons who cannot afford healthcare because of inadequate resources and are uninsured or underinsured, cash donations on behalf of the poor and needy as well as contributions made to community agencies to fund charitable activities, training health professionals, the cost of performing medical research, and other services including health screenings and educating the community with various seminars and classes, and the costs associated with providing free clinics and community services. Sutter Health affiliates provide some or all of these community benefit activities.
Sutter Maternity & Surgery Center of Santa Cruz
2021 Total Community Benefit & Unpaid Costs of Medicare

2021 unpaid costs of Medicare were $10,220,239